

Overview of Multi-Phase Milestone Applications (UH₂/UH₃)

Christina Fleming
Office of Grants Management
NCATS

UH2/UH3 Applications

- On July 11, 2016, NCATS issued a Notice of Intent to publish a Funding Opportunity Announcement for the NIH-CASIS Coordinated Program in Tissue Chip Systems Translational Research in Space, [NOT-TR-16-018](#).
- Anticipate the applications to be multi-phased, milestone driven using the UH2/UH3 activity codes.
- Milestones are goals that create go/no-go decision points and must include clear and quantitative criteria for success.

UH2/UH3 Applications

- At a minimum, there should be one defined milestone for each year of requested support.
- Milestones are included in the Type 1 application and are sometimes re-negotiated in the pre-award stage.
- The UH2 (Phase I) and UH3 (Phase II) are generally each up to two years in length, for a total project length of four years.
- Competing (Type 1) applications will go through the NIH peer-review and IC Council review processes.

Cooperative Agreement Terms and Conditions of Award

- Acceptance of the Notice of Award (NoA) indicates the recipients' willingness to work with NIH Program staff during the course of the award.
- To participate in semi-annual meetings and in regular conference calls with NIH Program and CASIS staff.
- To actively seek input from NIH regarding resource needs or expertise needs that may arise during the performance of the project.
- To work within a consortium agreement to meet the goals of the Program.
- Collaborative Research Agreement to be signed by recipient institution and CASIS.

Reporting and Publishing

- Submit regular progress reports in addition to the annual progress reports to NIH.
- Responsible for the timely submission of abstracts, manuscripts and reviews.
- Must submit manuscripts to the NIH Project Coordinator within two weeks of acceptance for publication.
- Publications and oral presentations of work conducted under this Cooperative Agreement require appropriate acknowledgement of NIH support.

Transition from UH2 to UH3 Phase

- The transition from one phase to the next (UH2 to UH3 phase) is gauged on the achievement of the negotiated milestones during an administrative review. Some of the following are general points that are considered:
 - Successful achievement of the defined milestones from the UH2 phase of the project.
 - Potential for meeting goals of the Program.
 - Ability to work within a consortium agreement and with Implementation Partners to meet the goals of the Program.
 - Contingent upon the availability of funds.

Reminders

- All costs requested will be reviewed for allowability , allocability and reasonableness per the applicable cost principals and the NIH Grants Policy Statement (<http://grants.nih.gov/grants/policy/nihgps/nihgps.pdf>).
- Provide a comprehensive detailed budget justification. Note that all costs over \$1,000 must be broken out.
- Use your institution's most currently negotiated F&A rate agreement.
- Always consult with your institutional Sponsored Programs Office should there be questions regarding allowable costs, actions that require prior approval, etc. . They are an excellent resource and are there to help.
- If Human and/or Animal Subjects research is proposed, be sure to include the appropriate sections in the application.