



OFFEROR PROFILE & CERTIFICATIONS

Proposal Name/ID:		
Legal Entity Name (DBA or Division (if applicable):		
Type of Corporation: For Profit Corporation <input type="checkbox"/> ; Non-Profit Corporation <input type="checkbox"/> ; Other <input type="checkbox"/> If "Other" explain:		
Type of Business Entity: Commercial <input type="checkbox"/> University <input type="checkbox"/> ; Federal Agency <input type="checkbox"/> ; Other <input type="checkbox"/> If "Other" explain:		
Business Entity Size: Small <input type="checkbox"/> ; Large <input type="checkbox"/> ; Other <input type="checkbox"/> If "Other" explain:		
State of Incorporation:		
If applicable, immediate and ultimate Corporate Parent Company name and address:		
Company DUNS Number (www.dnb.com):		
Company Federal Tax ID #:		
Company Physical Address:		
Company URL Address:		
Authorized Representative and Signatory of		
Company: Name:		
Title:		
Telephone:	Fax:	Email:



Export Control

Does this proposal contain information and data that are subject to U.S. export control laws and regulations including Export Administration Regulations (EAR) and International Traffic in Arms Regulations (ITAR)? YES ; NO

Please note: If you answer "yes" above, the cover page of the proposal must have an Export Control disclaimer or notice that clearly indicates which parts of the proposal (e.g., page number, section, figure) contain export control information. Indicate all information and data that are subject to provisions of U.S. export control laws and regulations as described above. Be sure to describe clearly or highlight information and data that contain export-controlled so they can be redacted, if necessary, prior to proposal review.

Certification Regarding Debarment, Suspension, and Other Responsibility Matters

(1) The undersigned on behalf of the Offeror certifies, to the best of his or her knowledge and belief, that Offeror and its principals:

- (a) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
- (b) Have not within a three-year period preceding this proposal been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
- (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
- (d) Have not within a three-year period preceding this application/proposal had one or more public transactions (Federal, State, or local) terminated for cause or default.

(2) Where the Offeror is unable to certify to any of the statements in this certification, such Offeror shall attach an explanation to this form.

Certification Regarding Lobbying for Contracts, Grants, Loans, and Cooperative Agreements

The undersigned on behalf of the Offeror certifies, to the best of his or her knowledge and



belief, that:

- (1) No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- (2) If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form - LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
- (3) The undersigned shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all subrecipients shall certify and disclose accordingly.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Certifications to Implement Restrictions in Appropriations Acts

The undersigned on behalf of the Offeror certifies that Offeror will comply with the funding and administrative requirements in the Consolidated and Further Continuing Appropriations Act, 2015, and further certifies that, to the best of his or her knowledge and belief, that Offeror:

- has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding (Sec. 523);
- has not been convicted of a felony criminal violation under any Federal law within the preceding 24 months (Sec. 745);
- does not require employees or contractors seeking to report fraud, waste, or abuse to sign internal confidentiality agreements or statements prohibiting or otherwise restricting such



employees or contactors from lawfully reporting such waste, fraud, or abuse to a designated investigative or law enforcement representative of a Federal department or agency authorized to receive such information (Sec. 743); and

- will not make funds available to develop, design, plan, promulgate, implement, or execute a bilateral policy, program, order, or contract of any kind to participate, collaborate, or coordinate bilaterally in any way with China or any Chinese-owned company unless such activities are specifically authorized by a law enacted after the date of enactment of this Act or used to effectuate the hosting of official Chinese visitors at facilities belonging to or utilized by NASA (Sec. 532).

By submitting the proposal, the Authorized Representative of the Offeror below (or the individual Proposer if there is no proposing organization):

(a) Certifies that the statements made in the proposal and this cert are true and complete to the best of his/her knowledge;

(b) Agrees to accept the obligation to comply with CASIS and NASA award terms and conditions if an award is made as a result of this proposal; and

(c) Confirms compliance with all applicable terms and conditions, rules, and stipulations set forth in the certifications above. If any of the Offeror's representations herein change prior to award of an agreement from CASIS, the Offeror shall immediately notify the CASIS contracts and compliance office at contracts@iss-casis.org.

Name and Title of Authorized Representative:

Name: _____

Title: _____

Signature: _____

Date: _____